OIPE JC16
JUN 09 2005
PATENT & TRADEMARK OFFICE

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet | 1 | of | 1

| *Complete if Known* | |
|---|---|
| Application Number | 10/683828 |
| Filing Date | October 10, 2003 |
| First Named Inventor | GANG YU |
| Group Art Unit | 2814 |
| Examiner Name | UNASSIGNED |
| Attorney Docket Number | UC0204USCIP |

## U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No.[1] | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|---|
| | | | Number – Kind Code[2] (if known) | | | |
| | 1 | US - | 2003/001488 A1 | 01-02-2003 | ROBERT C. SUNDAHL | |
| | 2 | US - | 5,825,087 A | 10-20-1998 | IRUVANTI ET AL. | |
| | 3 | US - | 5,163,348 A | 12-01-1992 | CHU ET AL. | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |
| | | US - | | | | |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.[1] | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T[6] |
|---|---|---|---|---|---|---|
| | | CountryCode[3] Number[4] Kind Code[5] (if known) | | | | |
| | 1 | WO 00/69000 A | 11-16-2000 | OSRAM OPTO SEMICONDUCTORS GMBH & CO. OHG | | ☐ |
| | 2 | WO 02/17390 A | 02-28-2002 | N F T NANOFILTERTECHNIK GMBH | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |
| | | | | | | ☐ |

| Examiner Signature | | Date Considered | 7/14/05 |
|---|---|---|---|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto. or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**